



2136  
PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	)	Conf. No.: 2476
<b>Robert Zobel et al.</b>	)	
	)	Art Unit: 2135
Application No.: 10/066,367	)	
	)	Examiner: Yin Chen Shaw
Filed: January 31, 2002	)	
	)	Attorney Docket No.: 05456.105009
Title: Method and System for Configuring	)	
and Scheduling Security Audits of a	)	
Computer Network	)	

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The documents identified on the accompanying PTO Form SB/08B, "Information Disclosure Statement by Applicant" are cited pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98.

IBM (who acquired Internet Security Systems, Inc., the owner of the subject patent application) hired a professional patentability searcher (independent contractor) to review the large number of documents cited in an IDS filed on October 7, 2005. The searcher was asked to identify in this review a much smaller number of these documents deemed most pertinent by the searcher to the current claims of the present patent application. The documents identified by the searcher through this review are listed on the accompanying PTO Form SB/08B, including the searcher's identification of pertinent page numbers within those documents.

Copies of the documents identified on the accompanying PTO Form SB/08B were provided with the larger IDS submitted on October 7, 2005. However, to further assist the

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 21, 2007.

  
Robert T. Neufeld, Reg. No. 48,394

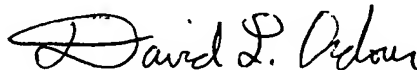
08/27/2007 RHEBRIGHT 00000008 10066367 180.00 0P  
01 FC:1806

Examiner, additional copies of the documents identified on the accompanying PTO Form SB/08B are enclosed.

Applicants have not studied, or otherwise analyzed, the documents identified by the searcher or compared the relevance of those documents to the other documents cited in the larger IDS mailed on October 7, 2005. Applicants make no representations, and gives no assurances, regarding the completeness, or accuracy of the review and identification of documents conducted by the searcher or that none of the other documents cited in the previously filed IDS are more pertinent to the claimed invention than the documents identified by the searcher. Applicants request that the Examiner independently fully consider all of the documents cited on the accompanying PTO Form SB/08B and initial and return the Form to show the documents were considered by the Examiner. Of course, the Examiner is welcome and encouraged to consider any of the other documents cited in the IDS mailed on October 7, 2005, as well.

The submission of this Supplemental IDS does not constitute an admission that any of the documents cited in the Supplemental IDS are statutory "prior art" or otherwise have priority relative to Applicants' claimed invention nor does submission of this Supplemental IDS constitute a waiver of any right Applicants may have under applicable statutes, rules of practice in patent cases, or otherwise.

Respectfully submitted,



David L. Adour  
IBM Endicott IP Law Counsel  
Reg. No. 29,604



PTO/SB/08B(09-06)

Approved for use through 03/31/2007. OMB 0651-0031

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/066,367
Filing Date	January 31, 2002
First Named Inventor	Robert Zobel
Art Unit	2135
Examiner Name	Yin Chen Shaw
Attorney Docket Number	05456.105009

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		DENNING, An Intrusion-Detection Model, Symposium on Security and Privacy, February 1987, Pages 1-17, SE-13(2), IEEE Transactions on Software Engineering. (See Pages 5-14, Paragraph 5)	
		LINDQVIST et al., eXpert-BSM: A Host-based Intrusion Detection Solution for Solaris, Proceedings of the 17 <sup>th</sup> Annual Computer Security Applications Conference, December 10-14, 2001, Pages 1-12, IEEE Computer Society. (See Pages 3-6, Paragraph 3)	
		PLATO, Beta Draft, Network ICE, ICEcap Administrator's Guide, Version 1.0 Beta, 1999, Pages 1-143, Network ICE Corporation. (See Pages 25-33, Paragraph 4)	
		MUNSON et al., Watcher: The Missing Piece of the Security Puzzle, Proceedings of the 17 <sup>th</sup> Annual Computer Security Applications Conference, December 10-14, 2001, Pages 1-10. (See Paragraph 5)	
		CUPPENS et al., Cooperative Intrusion Detection, Pages 1-10. (See Pages 5-9, Paragraphs 5-7)	
		KUMAR et al., An Application of Pattern Matching in Intrusion, Technical Report CSD-TR-94-013, June 17, 1994, Pages 1-55, Department of Computer Sciences, Purdue University. (See Pages 4-15, Paragraph 3)	
		Rationalizing Security Events with Three Dimensions of Correlation, Technical Brief, 2005, Pages 1-6, netForensics Inc. (See Page 4)	
		Internet Scanner, User Guide, Version 6.0, 1999, Pages 1-182, Internet Security Systems, Inc. (See Pages 37-53, Paragraph 3)	
		BURCHELL, Vi-SPY: Universal NIM?, Product Review, Virus Bulletin, January 1995, Pages 1-3, Virus Bulletin Ltd. (See Pages 1-3)	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**FEE TRANSMITTAL**

Attorney Docket No. 05456.105009

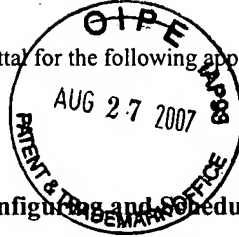
This sheet accompanies a patent application transmittal for the following application:

Application No.: 10/066,367

Inventor(s): Robert Zobel et al.

Filing Date: January 31, 2002

Title: Method and System for Configuring and Scheduling Security Audits of a Computer Network



The filing fee is calculated as shown below:

**1. FILING FEE:**

		SMALL ENTITY		LARGE ENTITY	
FOR:		FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> UTILITY FILING FEE		\$150		\$300	
<input type="checkbox"/> UTILITY SEARCH FEE		\$250		\$500	
<input type="checkbox"/> UTILITY EXAMINATION FEE		\$100		\$200	
<input type="checkbox"/> PROVISIONAL FILING FEE		\$100		\$200	
<input type="checkbox"/> DESIGN FILING FEE		\$100		\$200	
<input type="checkbox"/> DESIGN SEARCH FEE		\$50		\$100	
<input type="checkbox"/> DESIGN EXAMINATION FEE		\$65		\$130	
<input type="checkbox"/> REISSUE FILING FEE		\$150		\$300	
<input type="checkbox"/> REISSUE SEARCH FEE		\$250		\$500	
<input type="checkbox"/> REISSUE EXAMINATION FEE		\$300		\$600	
<input type="checkbox"/> APPLICATION SIZE FEE (If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).)		\$125		\$250	
SUBTOTAL (1)			\$		\$

**2. CLAIMS:**

SMALL ENTITY				LARGE ENTITY		
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	- 20 =		x 25 =		x 50 =	
INDEP. CLAIMS	- 3 =		x 100 =		x 200 =	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+180 =		+360 =	
SUBTOTAL (2)				\$		\$

**3. ADDITIONAL FEES:**

		SMALL ENTITY		LARGE ENTITY	
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<input type="checkbox"/> LATE FILING, FEE OR OATH		\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION		\$130		\$130	
<input checked="" type="checkbox"/> OTHER: SUBMISSION OF AN INFORMATION DISCLOSURE STATEMENT		\$180		\$180	180
SUBTOTAL (3)			\$		\$180

**FEE TRANSMITTAL**

Page 2

Attorney Docket No. 05456.105009

**TOTAL FILING FEES: \$180**

☒ Enclosed is Form PTO-2038, authorizing the charge of \$180 to cover the application filing fee.

☐ A check is enclosed for the total amount: \$

☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 or 1.17, or to credit any overpayment, to Deposit Account No. 11-0980. A duplicate of this document is enclosed.

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Date: 8/21/07